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#  
OFFICE OF PETITIONS

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kinzler et al.

Application No: 09/966,724

: Art Unit: 2826

Filed: October 1, 2001

: Examiner: TBA

For: **AMPLIFICATION OF MDM2  
GENE OF HUMAN MDM2 GENE  
IN HUMAN TUMORS**

: Atty Docket: 001107.00193

**REQUEST FOR WITHDRAWAL OF NOTICE OF ABANDONMENT**

Mail Stop Petition  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request the Commissioner to withdraw the Notice of Abandonment dated November 21, 2003, for failure to respond to a Notice to File Missing Parts that was allegedly mailed December 12, 2001.

The undersigned attorneys have no record of receiving any Notice to File Missing Parts for the subject application. The undersigned attorney has searched the file jacket and the docketing records; the absence of information in those sources indicates that the Notice to File Missing Parts was not received.

Docketing records for the subject application are shown in two different computer-based docketing systems. Exhibit I. No entry for a Notice to File Missing Parts is evident.

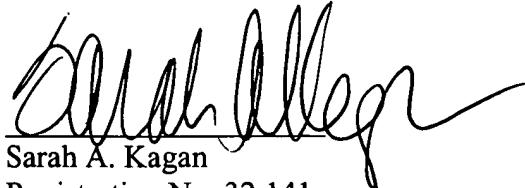
A log of mail received from the U.S. Patent and Trademark Office for the dates of December 12, 2001 through December 31, 2001 is attached. Exhibit II. No entry for the subject application is evident.

Daily docketing records for the undersigned attorneys for the date of February 12, 2002 are attached. Exhibit III. No entry for the subject application is evident.

Based on the above, Applicants request withdrawal of the Notice of Abandonment in view of the fact that the undersigned attorneys did not receive the Notice to File Missing Parts.

It is believed that no fee is required for this submission. If any fees are required, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

Respectfully submitted,

By:   
Sarah A. Kagan  
Registration No. 32,141

Dated: December 5, 2003

Banner & Witcoff, Ltd.  
Customer No. 22907



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-----------------------|-----------------------|------------------------|
| 09/966,724         | 10/01/2001            | Marilee Burrell       | 01107.00193            |



KAGAN, SARAH ANNE  
 BANNER & WITCOFF, LTD.  
 1001 G ST., N.W.  
 11TH FLOOR  
 WASHINGTON, DC 20001

CONFIRMATION NO. 3707  
 ABANDONMENT/TERMINATION  
 LETTER



\*OC000000011328478\*

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OFFICE OF PETITIONS

Date Mailed: 11/21/2003

**NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)**

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 12/12/2001.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY

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DEC 09 2003

OFFICE OF PETITIONS

IPMaster 1.0.01 - [SQLCEDB.I] wdcdoctet.IPMaster.skagan - [Patent 12913]

File Edit Records Utilities Tools Help

Docket Number: 001107.00193

Attorney: SARAH A KAGAN

Agent:

Client/Division: GENZYME

Current Owner: JOHNS HOPKINS UNIVERSITY

Previous Owner:

**METHODS FOR INHIBITING EXPRESSION OF HUMAN MDM2**

| License Data                  | Other Number       | External File                 | Related Id's | Title                  | Text | Expenses | Inventors |
|-------------------------------|--------------------|-------------------------------|--------------|------------------------|------|----------|-----------|
| Filing Date                   | Related Party Info | More                          | Actions      | Description            |      |          |           |
| Status: Filed                 |                    | First Filing Date: 4/7/1992   |              | National Filing Date:  |      |          |           |
| Sub Status: PENDING           |                    | Sub Status Date: 2/17/1995    |              | Confirmation Number:   |      |          |           |
| Parent Country: United States |                    | Parent Filing Date: 2/17/1995 |              | Classification Number: |      |          |           |
| Parent Number: 08/390474      |                    | Parent Grant Date:            |              | Parent Tax Base Date:  |      |          |           |
| Application Number: 09/956724 |                    | Application Date: 10/1/2001   |              | Tax Base Date:         |      |          |           |
| Patent Number:                |                    | Grant Date:                   |              | Next Tax Due Date:     |      |          |           |
| Publication Number:           |                    | Publication Date:             |              | Expiration Date:       |      |          |           |
| Assigned:                     |                    | Ind. Claims/Designs: 0        |              | Multiple Designs:      |      |          |           |
| Convention Type:              |                    | Total Claims: 0               |              | Designated States:     |      |          |           |
|                               |                    | Total Classes:                |              |                        |      |          |           |
|                               |                    | Parent Expiration Date:       |              |                        |      |          |           |

Created: 10/1/2001 Updated: 8/1/2003 11:25:40 AM By: shoggins

Start | Palm Desktop | Inbox - Microsoft... | MANAGE - [Man... | Microsoft Word | IPMaster 1.0.01... | 9:22 AM

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IPMaster 1.0.01 - [SQLOLEDB.1] wdc/docket/IPMaster.skagan - [Patent 12913]

File Edit Records Utilities Tools Help

Docket Number: 001107.00193      Attorney: SARAH A KAGAN  
Country: United States      Agent:  
Case Type: Regular      Client/Division: GENZYME  
Relation Type: Continuation      Current Owner: JOHNS HOPKINS UNIVERSITY  
Filing Type: National      Previous Owner:  
Filing Number:

**METHODS FOR INHIBITING EXPRESSION OF HUMAN MDM2**

| License Data         | Other Number       | External File | Related Id's | Title       | Text Expenses | Inventors      |
|----------------------|--------------------|---------------|--------------|-------------|---------------|----------------|
| Filing Date          | Related Party Info | More          | Actions      | Description |               |                |
| Info Disc. Statement | 1/1/2002           |               | 1/1/2002     | 10/1/2001   |               | FILED IDS ON   |
| Published            | 2/1/2002           |               | 2/1/2002     | 2/1/2002    |               |                |
| STATUS INQUIRY       | 2/1/2004           |               | 2/1/2004     |             |               |                |
| No Foreign Filing    |                    |               |              |             |               |                |
| PRELIMINARY          |                    | 10/1/2001     |              |             |               | FILED          |
| FILING RECEIPT       |                    | 2/5/2002      |              |             |               | FILING RECEIPT |
| *                    |                    |               |              |             |               |                |

Created: 10/1/2001      Updated: 8/1/2003 11:25:40 AM      By: shoggins

Start | Palm Desktop | Inbox - Microsoft... | MANAGE - [M... | Microsoft Word | IPMaster 1.0.01... | 9:23 AM

INTRANET DOCKET CASE CALENDAR STATISTICS DOCKET REPORTS HELP  
LOOKUP DEPT.

**Kagan**

Docket #: 001107.00193\US (Patents)  
**METHODS FOR INHIBITING  
EXPRESSION OF HUMAN  
MDM2**

Application No.: 09/966724 10/1/2001

| Action  | Due        | Comp.      |
|---|------------|------------|
| 1 month Response due (no extensions) - LAST DAY | 12/21/2003 |            |
| Status Inquiry                                  | 2/1/2003   | 11/24/2003 |
| PTA Event - case on file for 14 months          | 12/1/2002  | 12/1/2002  |
| CONVERTED - Published                           | 2/1/2002   | 2/1/2002   |
| Priority Claim/Amend Specification              | 2/1/2002   |            |
| File information disclosure statement           | 1/1/2002   | 10/1/2001  |
| CONVERTED - Info Discl. Statement               | 1/1/2002   | 10/1/2001  |
| Date of Last Change                             |            | 11/24/2003 |
| 1 month Response (no extensions) mail date      |            | 11/21/2003 |
| Status Inquiry base date                        |            | 10/1/2002  |
| Instructions Received Date for new case         |            | 10/1/2001  |
| Date of Entry                                   |            | 10/1/2001  |
| Application Filing Date                         |            | 10/1/2001  |
| Earliest Priority Date                          |            | 4/7/1992   |
| Foreign filing instructions received negative   |            |            |
| Official filing details received from agent     |            |            |
| CONVERTED - No Foreign Filing                   |            |            |
| CONVERTED - FILING RECEIPT RECEIVED             |            |            |
| CONVERTED - PRELIMINARY AMENDMENT               |            |            |

Status: Application filed

Country: United States of America

Associate: Sarah Kagan  
 Attorney: Sarah Kagan  
 Client: JOHNS HOPKINS UNIVERSITY, THE 9520  
 Debtor: JOHNS HOPKINS UNIVERSITY, THE  
 Division: JOHNS HOPKINS UNIVERSITY, THE  
 Inventor: KINZLER, KENNETH W. ET AL.  
 Inventor: VOGELSTEIN, BERT  
 Inventor: BURRELL, MARILEE  
 Inventor: HILL, DAVID E.  
 Owner: JOHNS HOPKINS UNIVERSITY, THE  
 Renewals Instructor: JOHNS HOPKINS UNIVERSITY, THE

**INVENTORS**

KINZLER, KENNETH W. ET AL.: INP0108015: VOGELSTEIN, BERT:  
 INP0116816: BURRELL, MARILEE: INP0101985: HILL, DAVID E.:

INP0106233:

RULE 1.60 DIV BASED ON USSN 044619 (42798) ENCL w/11 SHT INFORMAL DRGS EXEC DECL PREL AMDT 61 pg SPEC 55 CL 1 ABS FILED 17FE1995---- APPLN ASSIGN SN 08/390474 PER SN CARD RCV'D 02MR1995----- PTO NOTICE TO FILE MISSING PARTS OF APPLN FILING DATE GRANTD DTD 28AP1995 RECD 01MY1995 SEQ LIST DUE 28MY1995\*\*\*\*\* LAST DAY 28SE1995\*\*\*\*\* RESP TO NOTICE TO COMPLY w/SEQUENCE FILED 15MY1995 IN RESP TO MISSING PARTS DTD 28AP1995----- IDS FILED 22MY1995---- OFFICIAL FILING RCPT RCVD 26JE1995, FOREIGN FILING LICENSE GRANTED 16JE1995----- REQUEST FOR CORRECTED FILING RCPT & CPY PREL AMDT FILED 03JL1995---- CORRECTED FILING RCPT RCVD 08NO1995, FOREIGN FILING LICENSE GRANTED 16JE1995----- PAPER NO. 6 OA DTD 27DE1995 RECD 28DE1995 AMDMT DUE 27MR1996\*\*\*\*\* LAST DAY 27JE1996\*\*\*\*\* AMDT IN RESP TO OA DTD 27DE1995 & EOT FILED 29AP1996----- FILED NEW CONTINUATION APPLICATION ON 10/1/01 CONSISTING OF 61 PAGES OF SPECIFICATION 11 SHEETS OF DRAWINGS (FIGS. 1-11), COPY OF EXECUTED DECLARATION, IDS, PRELIMINARY AMENDMENT, TRANSMITTAL, REQUEST TO USE A COMPUTER READABLE FORM FROM ANOTHER APPLICATION

**HISTORY OF PATENT**

Other Number/Date: 48995: Other Number/Date: 01107: Client Reference: DM9520(DIV7): Parent: 903103: 6/23/1992 Parent: 867840: 4/7/1992 Continuation: 7050:

**Remarks**

01107.48995

SUBMISSION OF REFERENCES CITED IN AMDMT DTD 29AP1996 FILED 15MY1996----- PTO PAPER NO 9, FIN OA DTD 28MY1996 RECD 30MY1995 FIN RESP/ NOT. OF APPEAL DUE 28AU1996\*\*\*\*\* LAST DAY 28NO1996\*\*\*\*\* FAX FRM CLT DTD 02AU1996 RECD SAME W/INSTR TO FILE RESPONSE TO FOA----- AMDT AFTER FINAL IN RESP TO FOA DTD 28MY1996 FILED ON 28AU1996---- PTO ADVISORY ACTION (PAPER NO 12) DTD 17SE1996 RECD 18SE1996 NOTE RESP TO FIN REJECT CONSIDERED BUT DOES NOT PLACE APPLN IN CONDIT FOR

**Remarks**

ALLOWANCE----- PETITION FOR EOT (2 MO); NOTICE OF APPEAL AS FILED W/PTO ON 28OC1996----- AMDT AFTER APPEAL IN RESP TO FOA DTD 28AU1996 FILED ON 30OC1996---- PTO PAPER NO 16 ADVISORY ACTION DTD 25NO1996 REC'D 27N1996-- BRIEF ON APPEAL & APPENDIX I FILED 27DE1996 w/3 COPIES----- PAPER NO. 18 EXAMINER'S ANSWER DTD 28FE1997 RECD 04MR1997 REPLY & REQUEST FOR ORAL HEARING DUE 28AP1997\*\*\*\*\* REQUEST FOR ORAL HEARING FILED 15AP1997---- AMEND FILED 20NO98--DKT FROM POSTCARD--- PTO PAPER NO 23 DTD 27JL1999 COMM FROM EXAM

**Full Title or Mark**

METHODS FOR INHIBITING EXPRESSION OF HUMAN MDM2

|              |                 |           |            |    |
|--------------|-----------------|-----------|------------|----|
| Continuation |                 | 08/390474 | 2/17/1995  | US |
| Related Case | 001107.76469\US | 09/170159 | 10/13/1998 | US |
| Related Case | 001107.76469\US | 6399755   | 6/4/2002   | US |
| Related Case | 001107.76469\US | 6399755   | 6/4/2002   | US |

**Related Case 001107.48995\US 08/390474 2/17/1995 US**

PTO LOG December 12, 2001

PTO LOG DECEMBER 13, 2001

| APPLICATION # | DOCKET #    | DESCRIPTION                                 |
|---------------|-------------|---|
| 09/961418     | 03797.00225 | UPDATED FILING RECEIPT                      |
| 09/790323     | 04922.00033 | UPDATED FILING RECEIPT                      |
| 09/881208     | 03955.00019 | UPDATED FILING RECEIPT                      |
| 60/333157     | 04974.00635 | FILING RECEIPT                              |
| 09/934073     | 05288.00015 | UPDATED FILING RECEIPT                      |
| 29/150187     | 05427.00045 | FILING RECEIPT                              |
| 09/993776     | 00674.00055 | FILING RECEIPT                              |
| 76/040065     | 01223.00006 | NOTICE OF ALLOWANCE                         |
| 09/994931     | 00449.00007 | FILING RECEIPT                              |
| 09/991667     | 03797.00235 | FILING RECEIPT                              |
| 09/966269     | 01458.00014 | UPDATED FILING RECEIPT                      |
| 09/969868     | 03493.00176 | FILING RECEIPT                              |
| 09/913849     | 04895.00008 | REPLACEMENT FILING RECEIPT                  |
| 60/333474     | 04629.00010 | FILING RECEIPT                              |
| 09/418035     | 01701.84594 | CORRECTED FILING RECEIPT                    |
| 29/150190     | 05427.00043 | FILING RECEIPT                              |
| 09/996568     | 03493.00239 | FILING RECEIPT                              |
| 09/789634     | 6329521     | PATENT                                      |
| 29/140977     | D451926     | PATENT                                      |
| 09/438219     | 6328709     | PATENT                                      |
| 09/870748     | 6329524     | PATENT                                      |
| 09/293986     | 6329189     | PATENT                                      |
| 29/139016     | D451897     | PATENT                                      |
| 09/064554     | 6330650     | PATENT                                      |
| 09/479588     | 6329373     | PATENT                                      |
| 09/206885     | 04993.00075 | NOTICE REGARDING POWER OF ATTORNEY          |
| 09/994931     | 00449.00007 | NOTICE TO FILE MISSING PARTS                |
| 09/969868     | 03493.00176 | NOTICE TO FILE CORRECTED APPLICATION PAPERS |
| 09/699515     | 01765.00025 | OFFICE ACTION                               |
| 09/836264     | 01701.00067 | OFFICE ACTION                               |
| 09/599612     | 05149.00001 | OFFICE ACTION                               |



PTO LOG December 14, 2001

PTO LOG DECEMBER 17, 2001

| APPLICATION # | DOCKET #    | DESCRIPTION                             |
|---------------|-------------|---|
| 09/313282     | 00629.73596 | DETERMINATION OF PATENT TERM ADJUSTMENT |
| 78/075675     |             | NOTICE OF PUBLICATION                   |
| 78/075666     |             | NOTICE OF PUBLICATION                   |
| 29/148792     | 15127.00074 | ASSIGNMENT RECORDATION                  |
| 29/148198     | 14047.00041 | UPDATED FILING RECEIPT                  |
| 29/148249     | 14047.00040 | UPDATED FILING RECEIPT                  |
| 29/148806     |             | ASSIGNMENT RECORDATION                  |
| 75/682156     |             | NOTICE OF PUBLICATION                   |
| 75/761891     |             | NOTICE OF PUBLICATION                   |
| 76/114699     |             | NOTICE OF PUBLICATION                   |
| 60/284781     | 04922.00006 | ASSIGNMENT RECORDATION                  |
| 09/670030     | 00674.83224 | ISSUE NOTIFICATION                      |
| 09/547725     | 00354.84487 | ISSUE NOTIFICATION                      |
| 76/158600     |             | REQUEST FOR EXTENSION OF TIME TO OPPOSE |
| 09/388937     | 01701.83448 | ISSUE NOTIFICATION                      |
| 09/678367     | 00674.00005 | DETERMINATION OF PATENT TERM ADJUSTMENT |
| 09/665003     | 05127.00007 | FILING RECEIPT                          |
| 09/995003     | 05127.00007 | NOTICE TO FILE MISSING PARTS            |
| 29/142535     | 13797.00047 | ISSUE NOTIFICATION                      |
| 09/959869     | 06029.00001 | NOTICE OF ACCEPTANCE OF APPLICATION     |
| 09/959869     | 06029.00001 | FILING RECEIPT                          |
| 60/323333     | 04859.00026 | UPDATED FILING RECEIPT                  |
| 76/122571     |             | NOTICE OF PUBLICATION                   |
| 76/111778     |             | NOTICE OF PUBLICATION                   |
| 08/584018     |             | NOTICE OF PATENT EXPIRATION             |
| 09/877239     | 01223.00016 | UPDATED FILING RECEIPT                  |
| 09/947908     | 02372.00030 | UPDATED FILING RECEIPT                  |
| 75/981759     | 00777.82396 | FILING RECEIPT                          |
| 09/152434     |             | OFFICE ACTION                           |
| 09/668143     | 01107.00053 | OFFICE ACTION                           |



PTO LOG December 18, 2001

| APPLICATION # | DOCKET #     | DESCRIPTION                             |
|---------------|--------------|---|
| 09/654574     | 00407.00001  | OFFICE ACTION                           |
| 09/397227     | 03493.81339  | OFFICE ACTION                           |
| 09/660390     | 00629.00002  | OFFICE ACTION                           |
| 09/744647     | 01359.00003  | OFFICE ACTION                           |
| 09/678306     | 00674.00010  | OFFICE COMMUNICATION                    |
| 09/961429     | 01701.00110  | OFFICE ACTION                           |
| 09/465901     | 04820.83640  | OFFICE ACTION                           |
| 09/465901     | 07265.184001 | OFFICE COMMUNICATION                    |
| 09/271359     |              | DETERMINATION OF PATENT TERM EXTENSION  |
| 09/300398     | 03440.80663  | DETERMINATION OF PATENT TERM EXTENSION  |
| 09/656831     | 01701.00030  | DETERMINATION OF PATENT TERM ADJUSTMENT |
| 09/453794     | 00242.85018  | DETERMINATION OF PATENT TERM ADJUSTMENT |
| 09/663117     | 05127.85162  | DETERMINATION OF PATENT TERM ADJUSTMENT |
| 09/081637     | 00242.49813  | DETERMINATION OF PATENT TERM ADJUSTMENT |
| 09/429638     | 03274.84469  | NOA AND ISSUE FEE DUE                   |
| 09/812706     | 03848.74922B | NOTICE OF ALLOWABILITY                  |
| 09/862447     | 00674.00033  | NOTICE OF PUBLICATION OF APPLICATION    |
| 09/768089     | 02918.00003  | NOTICE OF PUBLICATION OF APPLICATION    |
| 09/748679     | 11777.00011  | NOTICE OF PUBLICATION OF APPLICATION    |
| 09/909790     | 01701.00098  | NOTICE OF PUBLICATION OF APPLICATION    |
| 09/825304     | 03493.00184  | NOTICE OF PUBLICATION OF APPLICATION    |
| 09/776926     | 04629.00002  | NOTICE OF PUBLICATION OF APPLICATION    |
| 76/318348     | 05178.00008  | TM FILING RECEIPT                       |
| 09/210753     | 01701.77946  | FILING RECEIPT                          |
| 09/880079     | 00242.00088  | FILING RECEIPT                          |
| 09/774802     |              | FILING RECEIPT                          |
| 09/908752     | 03493.00109  | FILING RECEIPT                          |
| 09/812895     | 12047.00003  | FILING RECEIPT                          |

|           |             |                               |
|-----------|-------------|-------------------------------|
| 09/950833 | 00242.00101 | FILING RECEIPT                |
| 09/950834 | 00242.00100 | FILING RECEIPT                |
| 09/950832 | 00242.00103 | FILING RECEIPT                |
| 09/912447 | 02973.00033 | FILING RECEIPT                |
| 09/995568 | 05288.00024 | FILING RECEIPT                |
| 09/948099 | 03797.00049 | FILING RECEIPT                |
| 09/985161 | 00674.00052 | FILING RECEIPT                |
| 09/989441 | 03848.00099 | FILING RECEIPT                |
| 09/843794 | 03797.00063 | FILING RECEIPT                |
| 09/910818 | 04208.0026  | FILING RECEIPT                |
| 09/843723 | 03797.00039 | FILING RECEIPT                |
| 09/769780 |             | FILING RECEIPT                |
| 08/852703 | 02746.06775 | SOFT COPY                     |
| 09/602896 | 01701.00011 | SOFT COPY                     |
| 09/028274 | 01107.73711 | SOFT COPY                     |
| 09/192359 | 03493.73539 | INTERVIEW SUMMARY             |
| 09/510643 | 03848.86527 | PTO COMMUNICATION             |
| 07/896582 | 38411       | MAINT. FEE REMINDER           |
| 09/863408 | 02372.00022 | NOTICE OF PUBLICATION OF APP. |
| 09/340190 | 01701.81694 | NOTICE OF PUBLICATION OF APP. |
| 09/909779 | 01701.00097 | NOTICE OF PUBLICATION OF APP. |
| 09/871646 | 01701.00080 | NOTICE OF PUBLICATION OF APP. |
| 09/871647 | 00407.00014 | NOTICE OF PUBLICATION OF APP. |
| 09/820874 | 00702.00019 | NOTICE OF PUBLICATION OF APP. |
| 09/778839 | 02918.00004 | NOTICE OF PUBLICATION OF APP. |
| 09/865462 | 02372.00023 | NOTICE OF PUBLICATION OF APP. |
| 09/885410 | 04629.0003  | NOTICE OF PUBLICATION OF APP. |
| 09/845314 | 00674.00032 | NOTICE OF PUBLICATION OF APP. |
| 09/873312 | 01458.00007 | NOTICE OF PUBLICATION OF APP. |
|           |             |                               |

PTO LOG December 19, 2001

PTO LOG December 20, 2001

|           |             |                       |
|-----------|-------------|-----------------------|
| 09/835108 | 11777.00045 | FILING RECEIPT        |
| 09/964852 | 04770.00024 | FILING RECEIPT        |
| 60/308094 | 04974.00551 | NOTICE OF RECORDATION |
| 76/344498 | 05035.00001 | TM FILING RECEIPT     |
| 09322180  | 02306.81213 | ISSUE FEE & NOA       |
| 29148139  | 01582.00046 | ISSUE FEE & NOA       |
| 29144421  | 14047.00025 | ISSUE FEE & NOA       |
| 08754823  | 00487.02027 | ISSUE FEE & NOA       |
| 09451158  | 04770.82083 | ISSUE FEE & NOA       |

## PTO LOG December 21, 2001

| APPLICATION # | DOCKET #    | DESCRIPTION                       |
|---------------|-------------|-----------------------------------|
| 6157056       |             | CERTIFICATE OF CORRECTION         |
| 5735974       |             | CERTIFICATE OF CORRECTION         |
| 29/135335     | D452282     | LETTERS PATENT                    |
| 29/145794     | D452060     | LETTERS PATENT                    |
| 29/145784     | D452061     | LETTERS PATENT                    |
| 29/125612     | D452238     | LETTERS PATENT                    |
| 09/287021     | 6331503     | LETTERS PATENT                    |
| 29/145795     | D452070     | LETTERS PATENT                    |
| 09/160340     | 6331303     | LETTERS PATENT                    |
| 09/641722     | 6331960     | LETTERS PATENT                    |
| 09/552311     | 6328582     | LETTERS PATENT                    |
| 29/145795     | D452070     | LETTERS PATENT                    |
| 76165487      | 2514941     | CERTIFICATE OF REGISTRATION       |
| 09/485584     | 6327985     | SOFT COPY                         |
| 29/139016     | D451897     | SOFT COPY                         |
| 09/748132     | 6329683     | SOFT COPY                         |
| 29/145794     | D452060     | SOFT COPY                         |
| 09/521612     | 6329250     | SOFT COPY                         |
| 09/479588     | 6329373     | SOFT COPY                         |
| 09/293986     | 6329189     | SOFT COPY                         |
| 29/145784     | D452061     | SOFT COPY                         |
| 09/287021     | 6331503     | SOFT COPY                         |
| 09/789634     | 6329521     | SOFT COPY                         |
| 29/125612     | D452238     | SOFT COPY                         |
| 09/861625     | 6330277     | SOFT COPY                         |
| 29/148163     | 01582.00047 | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 29/148140     | 01582.00045 | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/523729     | 01701.87094 | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/879054     | 01701.00082 | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/398092     | 1701.83743  | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/508047     | 00475.86415 | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/004909     | 00487.73165 | NOTICE OF ALLOWANCE AND ISSUE FEE |

|           |              |                                      |
|-----------|--------------|--------------------------------------|
| 09/475782 | 1999-0302    | NOTICE OF ALLOWANCE AND ISSUE FEE    |
| 29/144419 | 14047.00027  | NOTICE OF ALLOWANCE AND ISSUE FEE    |
| 29/148026 | 05341.00007  | NOTICE OF ALLOWANCE AND ISSUE FEE    |
| 09/998239 | 005230.00005 | FILING RECEIPT                       |
| 09/997015 | 00674.00057  | FILING RECEIPT                       |
| 09/877238 | 001223.00015 | UPDATED FILING RECEIPT               |
| 29/148199 | 14047.00037  | UPDATED FILING RECEIPT               |
| 09/877240 | 001223.00014 | UPDATED FILING RECEIPT               |
| 09/876173 | 00479.00023  | NOTICE OF RECORDATION                |
| 09/881208 | 003955.00019 | NOTICE OF RECORDATION                |
| 29/149270 | 15127.00058  | NOTICE OF RECORDATION                |
|           |              | PTO COMMUNICATION                    |
| 76/184403 |              | PTO COMMUNICATION                    |
| 09/998239 | 005230.00005 | NOTICE TO FILE CORRECTED APP. PAPERS |
| 09/630605 | 02706.00006  | OFFICE ACTION                        |
|           |              | DECISION OF APPEALS EXAMINER         |

PTO LOG DECEMBER 26, 2001

| APPLICATION # | DOCKET #    | DESCRIPTION                     |
|---------------|-------------|---------------------------------|
| 09/828906     | 01107.00131 | OFFICE ACTION                   |
| 09/72340      | 03659.00003 | OFFICE ACTION                   |
| 09/423051     | 01522.84807 | OFFICE ACTION                   |
| 09/613728     | 01107.85816 | OFFICE ACTION                   |
| 09/608216     | 00479.00011 | OFFICE ACTION                   |
| 09/471037     | 10118.85264 | NOTICE OF ALLOWANCE AND FEE DUE |
| 09/740007     | 00771.00014 | OFFICE ACTION                   |
| 09/376523     | 08719.2066  | OFFICE ACTION                   |
| 09/521108     | 00449.85342 | OFFICE ACTION                   |
| 09/347444     | 24668/12:3  | OFFICE ACTION                   |
| 09/528177     | 01701.87211 | OFFICE ACTION                   |
|               | 5914153     | CERTIFICATE OF CORRECTION       |
| 09/725923     | 00407.00006 | OFFICE ACTION                   |
| 09/694783     | 01756.00008 | NOTICE OF ALLOWANCE AND FEE DUE |
|               | 5943362     | CERTIFICATE OF CORRECTION       |
| 09/833072     | 00674.00027 | OFFICE ACTION                   |
| 09/567267     | 00242.79931 | OFFICE ACTION                   |
| 10/000804     | 00449.00006 | FILING RECEIPT                  |
| 10/000804     | 00449.00006 | NOTICE TO FILE MISSING PARTS    |
| 09/510189     | 01765.86460 | ISSUE NOTIFICATION              |
| 10/003312     | 01701.00129 | FILING RECEIPT                  |
| 09/624007     | 01701.00016 | NOTICE OF ALLOWANCE AND FEE DUE |
| 09/880793     | 03955.00018 | NOTICE OF RECORDATION           |
| 09/985492     | 03493.00339 | NOTICE OF INCOMPLETE REPLY      |
| 09/727708     | 05225.00001 | STATUS LETTER REPLY             |
| 09/658466     | 05162.00001 | OFFICE ACTION                   |
| 76/181283     |             | PATENT                          |
|               | 5229501     | CERTIFICATE OF CORRECTION       |
| 09/525906     | 01107.85815 | OFFICE ACTION                   |
| 09/756181     | 11777.00007 | OFFICE ACTION                   |
| 29/148029     | 05341.00005 | NOTICE OF ALLOWANCE AND FEE DUE |
| 08/532549     | 10180.51703 | OFFICE ACTION                   |

|           |              |                                    |
|-----------|--------------|------------------------------------|
| 09/598895 | 00242.00001  | OFFICE ACTION                      |
| 09/378281 | 03493.82325  | OFFICE ACTION                      |
| 09/725725 | 02372.00013  | OFFICE ACTION                      |
| 09/250690 | 10118.76719  | ISSUE NOTIFICATION                 |
| 09/312502 | 04084.80916  | ISSUE NOTIFICATION                 |
| 09/656826 | 2706.00007   | ISSUE NOTIFICATION                 |
| 09/510643 | 03848.86527  | ISSUE NOTIFICATION                 |
| 09/411371 | 01701.84477  | ISSUE NOTIFICATION                 |
| 09/210748 | 01107.77810  | ISSUE NOTIFICATION                 |
| 76/234200 |              | REQUEST FOR EXTENSION OF TIME      |
| 09/429642 | 01701.84758  | ISSUE NOTIFICATION                 |
| 09/935063 | 00040.00017  | NOTICE OF RECORDATION              |
| 09/985163 | 0674.00051   | UPDATED FILING RECEIPT             |
| 09/251881 | 09826.001002 | OFFICE ACTION                      |
| 09/882023 | 02877.00004  | OFFICE ACTION                      |
| 09/516326 | 006020.00005 | OFFICE ACTION                      |
| 09/397227 | 98.531-A(349 | OFFICE ACTION                      |
| 09/689747 | 05127.85165  | OFFICE ACTION                      |
| 09/953307 | 01701.00111  | OFFICE ACTION                      |
| 09/427104 | 04835.83577  | OFFICE ACTION                      |
| 09/668138 | 03192.00004  | NOTICE OF ABANDONMENT              |
| 08/926971 | 3754.05753   | NOTICE OF ABANDONMENT              |
| 09/393312 |              | NOTICE REGARDING POWER OF ATTORNEY |
| 09/731900 | 00770.00002  | RESPONSE TO RULE 312 COMMUNICATION |
| 78/086657 | 00131.000043 | TRADEMARK COMMUNICATION            |
| 75/731556 | 1582.81455   | TRADEMARK OFFICE ACTION            |
| 08/422484 | 664005.437   | NOTICE REGARDING POWER OF ATTORNEY |
| 09/497690 | 01701.85957  | ADVISORY ACTION                    |
| 08/984325 | 02918.72768  | INTERVIEW SUMMARY                  |
| 09/404220 | 001701.84255 | NOTICE OF ALLOWABILITY             |
| 09/546333 | 04156.87541  | OFFICE ACTION                      |
| 09/392589 | 01458.83639  | NOTICE OF ALLOWANCE AND ISSUE FEE  |
| 09/504845 | 01458.86316  | NOTICE OF ALLOWANCE AND ISSUE FEE  |
| 09/649582 | 4919.00009   | NOTICE OF ALLOWANCE AND ISSUE FEE  |



PTO LOG December 27, 2001

PTO LOG December 28, 2001

PTO LOG December 31, 2001

| APPLICATION # | DOCKET #     | DESCRIPTION   |
|---------------|--------------|---|
| 60/323809     | 04974.00588  | RESPONSE TO REQUEST FOR CARRECTED<br>FILING RECEIPT |
| 07/282491     | 04700.25776  | MAINTENANCE FEE REMINDER                            |
| 09/205127     | 00777.208US1 | POST ALLOWANCE COMMUNICATION                        |
| 09/979724     | 00475.00005  | NOTICE OF ACCEPTANCE OF APPLICATION                 |
| 76/139103     | 05178.00004  | OFFICE ACTION                                       |
| 29/144092     | 15127.00056  | ISSUE NOTIFICATION                                  |
| 08/320186     |              | ISSUE NOTIFICATION                                  |
| 75/697149     |              | NOTICE OF PUBLICATION                               |
| 75/916836     |              | NOTICE OF PUBLICATION                               |
| 08/920572     | 663105.438C1 | RESPONSE TO RULE 312 COMUNICATION                   |
| 07/7896261    | 5317635      | MAINTENANCE FEE STATEMENT                           |
| 08/602614     |              | STATUS LETTER REPLY                                 |
| 07/731255     | 5254839      | NOTICE OF PATENT EXPIRATION                         |
| 29/145068     | 15127.00060  | ISSUE NOTIFICTION                                   |
| 09/685931     | 01701.00033  | ISSUE NOTIFICTION                                   |
| 09/852037     | 01701.00072  | ISSUE NOTIFICTION                                   |
| 09/800914     | 23723.00016  | NOTICE OF ALLOWANCE AND FEE DUE                     |
| 09/763949     | 00545.00002  | NOTICE OF ALLOWANCE AND FEE DUE                     |
| 09/705913     | 02706.00011  | ISSUE NOTIFICATION                                  |
| 76/139104     | 05178.00003  | OFFICE ACTION                                       |
| 09/662154     | 01765.00019  | OFFICE ACTION                                       |
| 60/336704     | 004974.00645 | FILING RECEIPT                                      |
| 60/314660     | 004974.00571 | UPDATED FILING RECEIPT                              |
| 10/011777     | 001701.00130 | FILING RECEIPT                                      |
| 09/973699     |              | NOTICE OF RECORDATION                               |
| 09/973693     |              | NOTICE OF RECORDATION                               |
| 60/313830     | 004974.00565 | UPDATED FILING RECEIPT                              |
| 09/979724     | 00475.00005  | FILING RECEIPT                                      |
| 60/296137     | 04974.00520  | NOTICE OF RECORDATION                               |
| 60/309794     | 04974.00554  | NOTICE OF RECORDATION                               |
| 60/296136     | 04974.00523  | NOTICE OF RECORDATION                               |

|           |                |                       |
|-----------|----------------|-----------------------|
| 60/318021 | 04974.00559    | NOTICE OF RECORDATION |
| 60/301419 | 004974.00533   | NOTICE OF RECORDATION |
| 60/300067 | 004974.00530   | NOTICE OF RECORDATION |
| 60/301841 | 004974.00536   | NOTICE OF RECORDATION |
| 29/149268 |                | NOTICE OF RECORDATION |
| 09/973697 |                | NOTICE OF RECORDATION |
| 60/271412 | 01107.00090    | NOTICE OF RECORDATION |
| 60/267414 | 004974.00438   | NOTICE OF RECORDATION |
| 09/922243 | 005242.00020   | NOTICE OF RECORDATION |
| 60/311362 | 004974.00555   | NOTICE OF RECORDATION |
| 60/312346 | 004974.00556   | NOTICE OF RECORDATION |
| 60/299193 | 004974.00527   | NOTICE OF RECORDATION |
| 60/314113 | 004974.00567   | NOTICE OF RECORDATION |
| 60/307615 | 004974.00548   | NOTICE OF RECORDATION |
| 60/317964 | 004974.00576   | NOTICE OF RECORDATION |
| 60/294998 | 004974.00518   | NOTICE OF RECORDATION |
| 60/313809 | 004974.00561   | NOTICE OF RECORDATION |
| 60/314114 | 004974.00562   | NOTICE OF RECORDATION |
| 08/705266 |                | NOTICE OF RECORDATION |
| ETC       |                |                       |
| 60/300071 | 004974.00528   | NOTICE OF RECORDATION |
| 60/313464 | 004974.00560   | NOTICE OF RECORDATION |
| 60/301853 | 004974.00535   | NOTICE OF RECORDATION |
| 60/306468 | 004974.00545   | NOTICE OF RECORDATION |
| 60/312345 | 004974.00557   | NOTICE OF RECORDATION |
| 60/307393 | 004974.00539   | NOTICE OF RECORDATION |
| 60/294585 | 004974.0000517 | NOTICE OF RECORDATION |
| 29/149267 |                | NOTICE OF RECORDATION |
| 60/300068 | 004974.00529   | NOTICE OF RECORDATION |
| 60/308096 | 004974.00552   | NOTICE OF RECORDATION |
| 09/876144 | 05284.00092    | NOTICE OF RECORDATION |
| 60/296447 | 004974.00524   | NOTICE OF RECORDATION |
| 60/239520 | 004974.00600   | FILING RECEIPT        |
| 60/328804 | 04974.00595    | FILING RECEIPT        |
| 09/993657 | 00674.00056    | FILING RECEIPT        |

|           |              |                                   |
|-----------|--------------|-----------------------------------|
| 09/956255 | 002372.00118 | UPDATED FILING RECEIPT            |
| 09/898473 | 002491.00004 | UPDATED FILING RECEIPT            |
| 09/968706 | 001701.00123 | UPDATED FILING RECEIPT            |
| 10/005153 | 003493.00513 | FILING RECEIPT                    |
| 29/140973 | 13797.00062  | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/948047 | 05242.00044  | NOTICE OF ALLOWANCE AND ISSUE FEE |
| 09/637062 | 04255.00001  | OFFICE ACTION                     |
|           | 00717.00009  | PCT                               |
| 09/754701 | 11777.00021  | OFFICE ACTION                     |
| 09/411171 | 03797.80030  | DECISION REFUSING STATUS          |
| 09/974579 |              | NOTICE OF RECORDATION             |
| 10/003691 | 005127.00930 | FILING RECEIPT                    |
| 76/135517 |              | TRADEMARK OFFICE ACTION           |
| 29/145793 | D452369      | SOFT COPY                         |
| 09/200325 | 6333753      | SOFT COPY                         |
| 09/052152 | 6333274      | SOFT COPY                         |
| 09/548725 | 6334007      | SOFT COPY                         |
| 09/850154 | 6332696      | SOFT COPY                         |
| 09/229315 | 6333337      | SOFT COPY                         |
| 09/468315 | 6333541      | SOFT COPY                         |
| 09/707791 | 6333658      | SOFT COPY                         |
| 09/460511 | 6333078      | SOFT COPY                         |
| 09/388937 | 6333538      | SOFT COPY                         |
| 09/425969 | 6333548      | SOFT COPY                         |
| 09/215207 | 6333155      | SOFT COPY                         |
| 09/099301 | 6333179      | SOFT COPY                         |
| 09/215207 | 6333155      | LETTERS PATENT                    |
| 09/850154 | 6332696      | LETTERS PATENT                    |
| 09/707791 | 6333658      | LETTERS PATENT                    |
| 29/142535 | D452502      | LETTERS PATENT                    |
| 09/460511 | 6333078      | LETTERS PATENT                    |
| 29/135334 | D452534      | LETTERS PATENT                    |
| 09/548725 | 6334007      | LETTERS PATENT                    |
| 09/200325 | 6333753      | LETTERS PATENT                    |

|           |         |                |
|-----------|---------|----------------|
| 09/726385 | 6332804 | LETTERS PATENT |
| 09/670030 | 6332803 | LETTERS PATENT |
| 29/135332 | D452505 | LETTERS PATENT |
| 29/142553 | D452503 | LETTERS PATENT |
| 09/468315 | 6333541 | LETTERS PATENT |
| 09/425969 | 6333548 | LETTERS PATENT |
| 29/145793 | D452369 | LETTERS PATENT |
| 09/388937 | 6333538 | LETTERS PATENT |
| 08/815100 | 6334157 | LETTERS PATENT |
| 29/143392 | D452511 | LETTERS PATENT |
| 09/081646 | 6333152 | LETTERS PATENT |

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## Docket Report for - SARAH A. KAGAN

Date of Report: Tuesday, February 12, 2002

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|                    |  |                    |                                 |                      |
|--------------------|--|--------------------|---------------------------------|----------------------|
| Action Due Date    | 2/12/2002                                    | Action Description | DRAWING CORRECTIONS DUE / FILED | Associate <i>LJH</i> |
| Action Deadline    | 3/12/2002                                    |                    |                                 | Main Atty <i>SAK</i> |
| Docket Number      | 000250.87458                                 | Country            | United States                   | Atty3                |
| Application Number | 09/558150                                    |                    |                                 | Atty4                |
| Application Date   | 4/26/2000                                    |                    |                                 |                      |
| Patent Number      |  |                    |                                 |                      |
| Grant Date         |  |                    |                                 |                      |
| Next Tax Due       |  |                    |                                 |                      |
| Current Owner      | DUKE UNIVERSITY                              |                    |                                 |                      |
| Title              | RADIOCONJUGATION OF INTERNALIZING ANTIBODIES |                    |                                 |                      |
| Action Due Date    | 2/12/2002                                    | Action Description | RECONSIDERATION OF PTA-1MTH     | Associate <i>LJH</i> |
| Action Deadline    | 3/12/2002                                    |                    |                                 | Main Atty <i>SAK</i> |
| Docket Number      | 000250.87458                                 | Country            | United States                   | Atty3                |
| Application Number | 09/558150                                    |                    |                                 | Atty4                |
| Application Date   | 4/26/2000                                    |                    |                                 |                      |
| Patent Number      |  |                    |                                 |                      |
| Grant Date         |  |                    |                                 |                      |
| Next Tax Due       |  |                    |                                 |                      |
| Current Owner      | DUKE UNIVERSITY                              |                    |                                 |                      |
| Title              | RADIOCONJUGATION OF INTERNALIZING ANTIBODIES |                    |                                 |                      |
| Action Due Date    | 2/12/2002                                    | Action Description | ISSUE FEE DUE (1 MO PRIOR)      | Associate <i>LJH</i> |
| Action Deadline    | 3/12/2002                                    |                    |                                 | Main Atty <i>SAK</i> |
| Docket Number      | 000250.87458                                 | Country            | United States                   | Atty3                |
| Application Number | 09/558150                                    |                    |                                 | Atty4                |
| Application Date   | 4/26/2000                                    |                    |                                 |                      |
| Patent Number      |  |                    |                                 |                      |
| Grant Date         |  |                    |                                 |                      |
| Next Tax Due       |  |                    |                                 |                      |
| Current Owner      | DUKE UNIVERSITY                              |                    |                                 |                      |
| Title              | RADIOCONJUGATION OF INTERNALIZING ANTIBODIES |                    |                                 |                      |

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**Docket Report for - SARAH A. KAGAN**

**Date of Report:** Tuesday, February 12, 2002

|                           |  |                           |                       |                      |
|---------------------------|--|---------------------------|-----------------------|----------------------|
| <b>Action Due Date</b>    | 2/12/2002  | <b>Action Description</b> | RESPONSE (1 MO PRIOR) | <b>Associate</b>     |
| <b>Action Deadline</b>    | 3/12/2002  |                           |                       | <b>Main Atty</b> SAK |
| <b>Docket Number</b>      | 000295.76524   | <b>Country</b>            | Canada                | <b>Atty3</b>         |
| <b>Application Number</b> | 2253809  |                           |                       | <b>Atty4</b> INTL    |
| <b>Application Date</b>   | 5/7/1997   |                           |                       |                      |
| <b>Patent Number</b>      |  |                           |                       |                      |
| <b>Grant Date</b>         |  |                           |                       |                      |
| <b>Next Tax Due</b>       | 5/7/2002   |                           |                       |                      |
| <b>Current Owner</b>      | BIOTECHNOLOGY RESEARCH AND                                     |                           |                       |                      |
| <b>Title</b>              | MOLECULAR GENETIC CONSTRUCTION OF VACCINE STRAINS OF<br>PASTEU |                           |                       |                      |
| <b>Action Due Date</b>    | 2/12/2002  | <b>Action Description</b> | STATUS INQUIRY DUE    | <b>Associate</b> LMH |
| <b>Action Deadline</b>    | 2/12/2002  |                           |                       | <b>Main Atty</b> SAK |
| <b>Docket Number</b>      | 000295.77957   | <b>Country</b>            | United States         | <b>Atty3</b>         |
| <b>Application Number</b> | 09/210747  |                           |                       | <b>Atty4</b>         |
| <b>Application Date</b>   | 12/15/1998   |                           |                       |                      |
| <b>Patent Number</b>      |  |                           |                       |                      |
| <b>Grant Date</b>         |  |                           |                       |                      |
| <b>Next Tax Due</b>       |  |                           |                       |                      |
| <b>Current Owner</b>      | BIOTECHNOLOGY RESEARCH AND                                     |                           |                       |                      |
| <b>Title</b>              | CONSTRUCTION OF PASTEURELLA HEAMOLYTICA VACCINES               |                           |                       |                      |
| <b>Action Due Date</b>    | 2/12/2002  | <b>Action Description</b> | FILE ASSIGNMENT       | <b>Associate</b> LMH |
| <b>Action Deadline</b>    | 7/12/2002  |                           |                       | <b>Main Atty</b> SAK |
| <b>Docket Number</b>      | 001107.00153   | <b>Country</b>            | Canada                | <b>Atty3</b>         |
| <b>Application Number</b> | 2360724  |                           |                       | <b>Atty4</b> INTL    |
| <b>Application Date</b>   | 1/18/2000  |                           |                       |                      |
| <b>Patent Number</b>      |  |                           |                       |                      |
| <b>Grant Date</b>         |  |                           |                       |                      |
| <b>Next Tax Due</b>       | 1/18/2003  |                           |                       |                      |
| <b>Current Owner</b>      | JOHNS HOPKINS UNIVERSITY, THE                                  |                           |                       |                      |
| <b>Title</b>              | MAMMALIAN SERINE RACEMASE                                      |                           |                       |                      |

## Docket Report for - SARAH A. KAGAN

Date of Report: Tuesday, February 12, 2002

|                    |  |                    |                                    |           |      |
|--------------------|--|--------------------|------------------------------------|-----------|------|
| Action Due Date    | 2/12/2002  | Action Description | Published                          | Associate | MHO  |
| Action Deadline    | 2/12/2002  |                    |                                    | Main Atty | SAK  |
| Docket Number      | 001107.00195   | Country            | United States                      | Atty3     |      |
| Application Number | 09/981356  |                    |                                    | Atty4     |      |
| Application Date   | 10/12/2001   |                    |                                    |           |      |
| Patent Number      |  |                    |                                    |           |      |
| Grant Date         |  |                    |                                    |           |      |
| Next Tax Due       |  |                    |                                    |           |      |
| Current Owner      | JOHNS HOPKINS UNIVERSITY, THE                            |                    |                                    |           |      |
| Title              | DIGITAL AMPLIFICATION                                    |                    |                                    |           |      |
| Action Due Date    | 2/12/2002  | Action Description | STATUS INQUIRY DUE                 | Associate | DHH  |
| Action Deadline    | 2/12/2002  |                    |                                    | Main Atty | SAK  |
| Docket Number      | 001107.38836   | Country            | Canada                             | Atty3     |      |
| Application Number | 20610611   |                    |                                    | Atty4     | INTL |
| Application Date   | 2/12/1992  |                    |                                    |           |      |
| Patent Number      |  |                    |                                    |           |      |
| Grant Date         |  |                    |                                    |           |      |
| Next Tax Due       | 2/12/2003  |                    |                                    |           |      |
| Current Owner      | JOHNS HOPKINS UNIVERSITY, THE                            |                    |                                    |           |      |
| Title              | BLOCKAGE OF COENZYME A-INDEPENDENT TRANSACYLASE ACTIVITY |                    |                                    |           |      |
| Action Due Date    | 2/12/2002  | Action Description | EXAMINATION REQUESTED (1 YR PRIOR) | Associate |      |
| Action Deadline    | 2/12/2003  |                    |                                    | Main Atty | SAK  |
| Docket Number      | 25525  | Country            | Japan                              | Atty3     |      |
| Application Number | 525702/96  |                    |                                    | Atty4     | INTL |
| Application Date   | 2/12/1996  |                    |                                    |           |      |
| Patent Number      |  |                    |                                    |           |      |
| Grant Date         |  |                    |                                    |           |      |
| Next Tax Due       |  |                    |                                    |           |      |
| Current Owner      | MEDICAL COLLEGE OF HAMPTON RO                            |                    |                                    |           |      |
| Title              | INGAP PROTEIN INVOLVED IN PANCREATIC ISLET NEOGENESIS    |                    |                                    |           |      |

## Docket Report for - SARAH A. KAGAN

Date of Report: Tuesday, February 12, 2002

|                           |  |                           |                            |                  |      |
|---------------------------|--|---------------------------|----------------------------|------------------|------|
| <b>Action Due Date</b>    | 2/13/2002  | <b>Action Description</b> | FILE DIVISIONAL            | <b>Associate</b> | PEH  |
| <b>Action Deadline</b>    | 2/27/2002  |                           |                            | <b>Main Atty</b> | SAK  |
| <b>Docket Number</b>      | 000728.72781   | <b>Country</b>            | European Patent Convention | <b>Atty3</b>     |      |
| <b>Application Number</b> | 969221852  |                           |                            | <b>Atty4</b>     | INTL |
| <b>Application Date</b>   | 6/27/1996  |                           |                            |                  |      |
| <b>Patent Number</b>      | 0830266  |                           |                            |                  |      |
| <b>Grant Date</b>         |  |                           |                            |                  |      |
| <b>Next Tax Due</b>       | 6/30/2002  |                           |                            |                  |      |
| <b>Current Owner</b>      | GINTEC ACTIVE SAFETY LIMITED                                 |                           |                            |                  |      |
| <b>Title</b>              | OBSTACLE DETECTION SYSTEM FOR VEHICLES MOVING IN REVERSE     |                           |                            |                  |      |
| <b>Action Due Date</b>    | 2/13/2002  | <b>Action Description</b> | FILE ASSIGNMENT            | <b>Associate</b> |      |
| <b>Action Deadline</b>    | 9/13/2002  |                           |                            | <b>Main Atty</b> | SAK  |
| <b>Docket Number</b>      | 001107.00177   | <b>Country</b>            | Canada                     | <b>Atty3</b>     |      |
| <b>Application Number</b> | PCT/US00/00730   |                           |                            | <b>Atty4</b>     | INTL |
| <b>Application Date</b>   | 1/13/2000  |                           |                            |                  |      |
| <b>Patent Number</b>      |  |                           |                            |                  |      |
| <b>Grant Date</b>         |  |                           |                            |                  |      |
| <b>Next Tax Due</b>       | 1/13/2003  |                           |                            |                  |      |
| <b>Current Owner</b>      | JOHNS HOPKINS UNIVERSITY, THE                                |                           |                            |                  |      |
| <b>Title</b>              | GENETIC IMMUNIZATION WITH CO-DELIVERY OF NUCLEIC ACID AND CY |                           |                            |                  |      |
| <b>Action Due Date</b>    | 2/13/2002  | <b>Action Description</b> | STATUS INQUIRY DUE         | <b>Associate</b> |      |
| <b>Action Deadline</b>    | 2/13/2002  |                           |                            | <b>Main Atty</b> | SAK  |
| <b>Docket Number</b>      | 001107.43453   | <b>Country</b>            | Spain                      | <b>Atty3</b>     |      |
| <b>Application Number</b> | 929060804  |                           |                            | <b>Atty4</b>     | INTL |
| <b>Application Date</b>   | 1/16/1992  |                           |                            |                  |      |
| <b>Patent Number</b>      | 0569527  |                           |                            |                  |      |
| <b>Grant Date</b>         | 3/14/2001  |                           |                            |                  |      |
| <b>Next Tax Due</b>       | 1/16/2003  |                           |                            |                  |      |
| <b>Current Owner</b>      | JOHNS HOPKINS UNIVERSITY, THE                                |                           |                            |                  |      |
| <b>Title</b>              | INHERITED AND SOMATIC MUTATIONS OF APC GENE IN COLORECTAL C  |                           |                            |                  |      |

## Docket Report for - SARAH A. KAGAN

Date of Report: Tuesday, February 12, 2002

|                           |  |                           |                                 |                  |                        |
|---------------------------|--|---------------------------|---------------------------------|------------------|------------------------|
| <b>Action Due Date</b>    | 2/13/2002  | <b>Action Description</b> | STATUS INQUIRY DUE              | <b>Associate</b> | DHH                    |
| <b>Action Deadline</b>    | 2/13/2002  |                           |                                 | <b>Main Atty</b> | SAK                    |
| <b>Docket Number</b>      | 001107.50395   | <b>Country</b>            | Japan                           | <b>Atty3</b>     |                        |
| <b>Application Number</b> | 51714994   |                           |                                 | <b>Atty4</b>     | INTL                   |
| <b>Application Date</b>   | 1/21/1994  |                           |                                 |                  | Move 3<br>Int'l<br>MHO |
| <b>Patent Number</b>      |  |                           |                                 |                  | PTO, PTO, PTO          |
| <b>Grant Date</b>         |  |                           |                                 |                  |                        |
| <b>Next Tax Due</b>       |  |                           |                                 |                  |                        |
| <b>Current Owner</b>      | JOHNS HOPKINS UNIVERSITY, THE                                |                           |                                 |                  |                        |
| <b>Title</b>              | LYSOMAL TARGETING OF IMMUNOGENS                              |                           |                                 |                  |                        |
| <b>Action Due Date</b>    | 2/13/2002  | <b>Action Description</b> | Appeal Brief                    | <b>Associate</b> | MHO                    |
| <b>Action Deadline</b>    | 7/13/2002  |                           |                                 | <b>Main Atty</b> | SAK                    |
| <b>Docket Number</b>      | 001107.77737   | <b>Country</b>            | United States                   | <b>Atty3</b>     |                        |
| <b>Application Number</b> | 09/205096  |                           |                                 | <b>Atty4</b>     |                        |
| <b>Application Date</b>   | 12/3/1998  |                           |                                 |                  |                        |
| <b>Patent Number</b>      |  |                           |                                 |                  |                        |
| <b>Grant Date</b>         |  |                           |                                 |                  |                        |
| <b>Next Tax Due</b>       |  |                           |                                 |                  |                        |
| <b>Current Owner</b>      | JOHNS HOPKINS UNIVERSITY, THE                                |                           |                                 |                  |                        |
| <b>Title</b>              | TARGETING ANTIGEN-SPECIFIC T-CELLS FOR SPECIFIC IMMUNOTHERAP |                           |                                 |                  |                        |
| <b>Action Due Date</b>    | 2/13/2002  | <b>Action Description</b> | AMENDMENT AFTER FINAL REJECTION | <b>Associate</b> |                        |
| <b>Action Deadline</b>    | 3/13/2002  |                           |                                 | <b>Main Atty</b> | SAK                    |
| <b>Docket Number</b>      | 004276.83542   | <b>Country</b>            | United States                   | <b>Atty3</b>     |                        |
| <b>Application Number</b> | 09/380287  |                           |                                 | <b>Atty4</b>     |                        |
| <b>Application Date</b>   | 11/16/1999   |                           |                                 |                  |                        |
| <b>Patent Number</b>      |  |                           |                                 |                  |                        |
| <b>Grant Date</b>         |  |                           |                                 |                  |                        |
| <b>Next Tax Due</b>       |  |                           |                                 |                  |                        |
| <b>Current Owner</b>      | SHIMIZU PATENT OFFICE  |                           |                                 |                  |                        |
| <b>Title</b>              | PHYSIOLOGICALLY ACTIVE PROTEIN ORIGINATING IN MAMMALS        |                           |                                 |                  |                        |

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## Docket Report for - SARAH A. KAGAN

Date of Report: Tuesday, February 12, 2002

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| Action Due Date    | 2/13/2002  | Action Description | NOTICE OF APPEAL DUE                          | Associate     |
| Action Deadline    | 3/13/2002  |                    |   | Main Atty SAK |
| Docket Number      | 004276.83542   | Country            | United States                                 | Atty3         |
| Application Number | 09/380287  |                    |   | Atty4         |
| Application Date   | 11/16/1999   |                    |   |               |
| Patent Number      |  |                    |   |               |
| Grant Date         |  |                    |   |               |
| Next Tax Due       |  |                    |   |               |
| Current Owner      | SHIMIZU PATENT OFFICE  |                    |   |               |
| Title              | PHYSIOLOGICALLY ACTIVE PROTEIN ORIGINATING IN MAMMALS        |                    |   |               |
| Action Due Date    | 2/14/2002  | Action Description | Foreign filing - 9 month remin                | Associate     |
| Action Deadline    | 5/14/2002  |                    |   | Main Atty SAK |
| Docket Number      | 000250.00003   | Country            | United States                                 | Atty3         |
| Application Number | 09/853880  |                    |   | Atty4         |
| Application Date   | 5/14/2001  |                    |   |               |
| Patent Number      |  |                    |   |               |
| Grant Date         |  |                    |   |               |
| Next Tax Due       |  |                    |   |               |
| Current Owner      | DUKE UNIVERSITY  |                    |   |               |
| Title              | FOUR GENETIC TUMOR MARKERS FOR HUMAN GIOBLASTOMA             |                    |   |               |
| Action Due Date    | 2/14/2002  | Action Description | Review Extended National Phase - 5 month remi | Associate     |
| Action Deadline    | 7/14/2002  |                    |   | Main Atty SAK |
| Docket Number      | 001107.00072   | Country            | Patent Cooperation Treaty                     | Atty3         |
| Application Number | PCT/US01/00882   |                    |   | Atty4 INTL    |
| Application Date   | 1/12/2001  |                    |   |               |
| Patent Number      |  |                    |   |               |
| Grant Date         |  |                    |   |               |
| Next Tax Due       |  |                    |   |               |
| Current Owner      | JOHNS HOPKINS UNIVERSITY, THE                                |                    |   |               |
| Title              | ISOLATION AND IN VITRO DIFFERENTIATION OF CONDITIONALLY IMMO |                    |   |               |

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## Docket Report for - SARAH A. KAGAN

Date of Report: Tuesday, February 12, 2002

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|--------------------|---|--------------------|---------------------------|---------------|
| Action Due Date    | 2/14/2002   | Action Description | CORRECT DEFECT            | Associate     |
| Action Deadline    | 2/14/2002   |                    |                           | Main Atty SAK |
| Docket Number      | 001107.00181  | Country            | Patent Cooperation Treaty | Atty3         |
| Application Number | PCT/US01/32189  |                    |                           | Atty4 INTL    |
| Application Date   | 10/16/2001  |                    |                           |               |
| Patent Number      |   |                    |                           |               |
| Grant Date         |   |                    |                           |               |
| Next Tax Due       |   |                    |                           |               |
| Current Owner      | JOHNS HOPKINS UNIVERSITY, THE                             |                    |                           |               |
| Title              | RECEPTOR MEDIATED ACTIVATION OF HETEROTRIMERIC G-PROTEINS |                    |                           |               |

|                    |  |                    |                            |               |
|--------------------|--|--------------------|----------------------------|---------------|
| Action Due Date    | 2/14/2002  | Action Description | Response Due               | Associate     |
| Action Deadline    | 2/14/2002  |                    |                            | Main Atty SAK |
| Docket Number      | 001107.77771                                     | Country            | European Patent Convention | Atty3         |
| Application Number | 939121273  |                    |                            | Atty4 INTL    |
| Application Date   | 4/7/1993   |                    |                            |               |
| Patent Number      | 0635068  |                    |                            |               |
| Grant Date         | 11/5/1997  |                    |                            |               |
| Next Tax Due       |  |                    |                            |               |
| Current Owner      | JOHNS HOPKINS UNIVERSITY, THE                    |                    |                            |               |
| Title              | AMPLIFICATION OF HUMAN MDM2 GENE IN HUMAN TUMORS |                    |                            |               |

|                    |   |                    |                                 |               |
|--------------------|---|--------------------|---------------------------------|---------------|
| Action Due Date    | 2/14/2002   | Action Description | RESPONSE TO WRITTEN OPINION DUE | Associate SAK |
| Action Deadline    | 2/28/2002   |                    |                                 | Main Atty PBK |
| Docket Number      | 003848.00040  | Country            | Patent Cooperation Treaty       | Atty3         |
| Application Number | PCT/US00/28284  |                    |                                 | Atty4 INTL    |
| Application Date   | 10/13/2000  |                    |                                 |               |
| Patent Number      |   |                    |                                 |               |
| Grant Date         |   |                    |                                 |               |
| Next Tax Due       |   |                    |                                 |               |
| Current Owner      | AFFYMETRIX, INC.  |                    |                                 |               |
| Title              | ALLELE DETECTION USING PRIMER EXTENSION WITH SEQUENCE-CODED |                    |                                 |               |

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**Docket Report for - SARAH A. KAGAN**

**Date of Report:** Tuesday, February 12, 2002

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|---------------------------|--|---------------------------|--------------------|------------------|------|
| <b>Action Due Date</b>    | 2/14/2002  | <b>Action Description</b> | STATUS INQUIRY DUE | <b>Associate</b> | BEH  |
| <b>Action Deadline</b>    | 2/14/2002  |                           |                    | <b>Main Atty</b> | SAK  |
| <b>Docket Number</b>      | 005284.00077   | <b>Country</b>            | Australia          | <b>Atty3</b>     |      |
| <b>Application Number</b> | 65062/99   |                           |                    | <b>Atty4</b>     | INTL |
| <b>Application Date</b>   | 10/1/1999  |                           |                    |                  |      |
| <b>Patent Number</b>      |  |                           |                    |                  |      |
| <b>Grant Date</b>         |  |                           |                    |                  |      |
| <b>Next Tax Due</b>       | 10/1/2004  |                           |                    |                  |      |
| <b>Current Owner</b>      | PRO DUCT HEALTH, INC.  |                           |                    |                  |      |
| <b>Title</b>              | METHODS FOR IDENTIFICATION, DIAGNOSIS AND TREATMENT OF BREAS |                           |                    |                  |      |

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